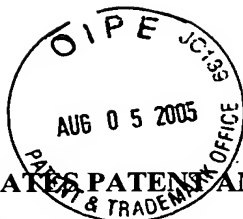


Docket No. 271519US0PCT



**MAIL STOP PCT**  
JCO1 Rec'd PCT/PTO 05 AUG 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Ingo KLOPP, et al.

SERIAL NO: 10/534,298

GAU:

FILED: May 9, 2005

EXAMINER:

FOR: SOLID PIGMENT PREPARATIONS CONTAINING PIGMENT DERIVATIVES AND SURFACE-ACTIVE ADDITIVES

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 271519US0PCT		SERIAL NO. 10/534,298	
LIST OF REFERENCES CITED BY APPLICANT 				APPLICANT Ingo KLOPP, et al.			
				FILING DATE May 9, 2005		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	2005/0090609	04/28/05	REISACHER et al.			
	AB	5 082 498	01/21/92	KURTZ et al.			
	AC	4 726 847	02/23/88	WANSER			
	AD						
	AE						
	AF						
	AG						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AH	0 636 666 /	02/01/95	EP (equivalent of US 5472494)			NO
	AI	0 504 922	09/23/92	EP (equivalent of US 5275653)			NO
	AJ	1 132 434	09/12/01	EP (equivalent of US 6440207)			NO
	AK	102 04 304	08/14/03	DE			NO
	AL	42 14 868	11/12/92	DE (equivalent of US 5271759)			NO
	AM	0 761 770	03/12/97	EP (equivalent of US 5725649)			NO
	AN	11-323166	11/26/99	JP (English abstract & English translation only)			NO
	AO	02/48268	06/20/02	WO			NO
	AP	0 395 988	11/07/90	EP (equivalent of US 5324354)			NO
	AQ	02/40596 /	05/23/02	WO (equivalent of US 6471764)			NO
	AR	0 621 319 /	10/26/94	EP (equivalent of US 5472490)			NO
	AS	02/064680 /	08/22/02	WO (with English abstract & equivalent of US 2004/0060478)			NO
	AT	39 26 564 /	02/14/91	DE (equivalent of US 5264034)			NO
	AU	43 21 693 /	01/12/95	DE (equivalent of EP 0632108)			NO
	AV	102 27 657	01/08/04	DE			NO
	AW	102 28 199	01/22/04	DE			NO
	AX	102 33 081	02/05/04	DE			NO
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No.: 10/534,298  
Docket No.: 271519US0PCT

#### STATEMENT OF RELEVANCY

- 1) References AB, AH-AP have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AC, AQ-AX are discussed in the specification. A copy of these references is being submitted here with.
- 4) References \_\_\_\_\_ are additional prior art known to Applicant. A copy of these references is being submitted herewith.

**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
271519US0PCT*	10/534,298	05/09/05		KLOPP, et al.
00524-2147-0	08/269,020	06/30/94		HENNING, et al.
261978US0PCT	10/515,345	12/03/04		REISACHER, et al.
255583US0PCT	10/501,328	07/22/04	US2005/0090609 A1	REISACHER, et al.

\*Present Application; listed for information  
NFO/kch